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Great companies with great cultures are borne out of a commitment to Integrity and Doing What’s Right. At R1, integrity is at the heart of who we are and how we operate in earning the trust and confidence of our customers, our stakeholders, and one another. In that spirit, I’m delighted to share with you our Code of Integrity, which is designed to help all of us live our values and Do What’s Right each day in building and sustaining a great company, culture, and workplace.

We know that there may be times when we’ll face difficult decisions and challenges, and that the answers won’t always be immediately clear; that’s where the Code of Integrity plays a crucial role as our company’s compass. In turn, I encourage you to read carefully and familiarize yourself with the Code. In the process, you’ll be best enabled to align your actions with our policies and values. Of course, the Code can’t address every possible situation, so we encourage you to speak up and ask questions if something doesn’t seem clear or right.

To succeed, we are committed to Operating with Purpose, with a culture that celebrates great customer service and innovation alongside honesty, transparency, and accountability in all that we do. With that commitment, guided by The R1 Way, we’ll find that greatness is well within our reach, in all that we do.

Joseph Flanagan
President & Chief Executive Officer
All About Our Code

Every great story needs a great beginning. Our story begins with our Code of Integrity ("the Code"), the framework that helps guide our commitment to an ethical and transparent culture. The Code of Integrity helps us live our values and enables us to Do What’s Right for our Company, our customers, and each other.

What does the Code cover?
The Code explains what R1 RCM Inc. and its subsidiaries (collectively, “R1” or the “Company”) expects from us as associates. At first glance, you may imagine the Code as a rule book that states what not to do, but that’s only a small part of the story. The Code goes much further by presenting real situations you might encounter at work and answers to ethical and legal questions that any of us might face.

It’s possible you won’t find the exact answer or situation you’re looking for in the Code, but one thing you will find is a variety of resources: links to policies, guidelines, and other information you can reference for help. As you read through the Code, a brief overview tells you about each topic and why it’s important. You’ll also notice that each topic is set up to help you:

- **Learn More** — This feature digs deeper, highlighting specific learning points that you should know.
- **Do What’s Right** — Here, we describe specific actions you can take and how those actions reflect our values and compliance principles.
- **In Practice** — You’ll see questions covering real situations and guidance on how to handle them.
- **Check our Policies** — Wherever a policy or other resource exists, we’ll link you to it for more information.
All About Our Code continued

Who must follow the Code?
In short, our Code applies to you if you work for R1 or represent our Company in any way. Whether you’re full-time or part-time, hourly or salaried, that means you — wherever you work and whatever job you hold, all the way up to our leadership and our Board of Directors. Our vendors, business partners, and contractors work on our behalf as well, so they must also uphold our Code and values.

A special note about the Code: R1 understands that you have rights as an associate. That’s why nothing in our Code is meant to interfere with your right to speak publicly about matters of public concern or to participate in activities that are protected under Section 7 of the U.S. National Labor Relations Act, and other applicable laws. This includes communicating about wages, hours, and other terms and conditions of your employment.

Should I really read the Code?
Yes, you should! Carefully reading the Code empowers you to:
- Live R1’s values and live the The R1 Way
- Make a positive impact on our culture
- Know and follow the laws that apply to us
- Interact with others respectfully
- Make ethical decisions
- Enhance R1’s reputation
- Earn our customers’ trust
- Speak up for what’s right

What Drives Us: The R1 Way

What is The R1 Way?
We improve the patient experience by being a trusted partner and developing innovative solutions for our internal and external customers

Think Forward | Innovate with Courage | Commit to Excellence
---|---|---
Identify future opportunities by anticipating change and planning accordingly | Be empowered to share new ideas and recommend ways to better serve customers and patients | Be accountable for customer service of the highest quality

Unleash Talent
We collaborate across the organization and actively support each other to accomplish great things

Build Capability | Break Down Silos | Inspire Greatness
---|---|---
Own your development by implementing and sharing your learnings | Create connections across teams by valuing differences and including others | Motivate each other to perform at our highest level

Operate with Purpose
We execute as one company to deliver superior results so our customers can focus on what matters most: patients

Do What’s Right | Learn Continuously | Find a Solution
---|---|---
Work the right way by acting with integrity and living our values every day | Help people improve by learning from success and failures | Succeed by proactively identifying solutions to problems that you and your colleagues face in daily work

Transform the Experience.
Think Forward
Innovate with Courage
Commit to Excellence
Build Capability
Break Down Silos
Inspire Greatness
Do What’s Right
Learn Continuously
Find a Solution
Operate with Purpose
Unleash Talent
What Drives Compliance and Ethics: Our Principles

As associates of R1, it is essential that we exemplify integrity and ethics in every aspect of our work. We do this by living our values through The R1 Way, and by applying and adhering to our compliance principles which are paramount to our mission.

These four key principles are aligned to The R1 Way to ensure that we are committed to integrity, excellence, and doing what’s right.

- **Work with Integrity**
  Make the right decisions, for the right reasons, every day.

- **Be Accountable**
  Ask for help when something doesn’t make sense or seem right.

- **Be Collaborative**
  Build a culture of compliance through partnership and teamwork.

- **Be Vigilant**
  See a risk, make it known.

To help foster a healthy and ethical culture, R1 has created a Pledge of Integrity. This Pledge helps support our commitment as associates to Operate with Purpose by acting with integrity and respect by being accountable for ourselves, collaborative within our teams, and vigilant in identifying and communicating risks.

**The R1 Pledge of Integrity:**

By taking this Pledge, I am stating that I understand and attest to these principles, conducting myself in a manner that supports our culture of trust, respect, and integrity.

- I will make the right decisions for the right reasons every day.
- I will operate with integrity by adhering to all R1 policies.
- I will treat others with fairness and respect.
- I will be accountable for my actions, and will ask for help when needed.
- I will support my teammates through collaboration and open communication.
- I will promote a safe work environment by reporting any risk I may see.
- I will encourage others to do the right thing.

Thank you for your commitment to this Pledge, our compliance principles, and living our values through The R1 Way. Your commitment to strong corporate citizenship contributes to the success of your teammates and R1.
Our Company, Our Responsibilities

There’s a reason why we call ourselves the R1 Team. It’s because we work as one and commit to The R1 Way, sharing the same mission, vision, and responsibilities with each other, our customers, and our Company.

As associates, we all have a responsibility to:

**Work The R1 Way** — The R1 Way is our values in action. Demonstrate the three expectations of Transform the Experience, Unleash Talent, and Operate with Purpose. Within each expectation, there are key behaviors that empower you to succeed, grow, and achieve your goals.

**Uphold laws, rules, and regulations** — Learn about the laws that apply to our business and to your job. Honor them and uphold them, along with our Code and our policies.

**Report concerns** — Don’t tolerate any act that goes against our values or violates our Code or the law. Speak up if you see or suspect a violation.

If you are a people leader, you have added responsibilities:

**Lead the way** — Show your team what it means to live our values and live The R1 Way. Demonstrate integrity in your own actions, refer to the Code often, and recognize your team when they live our values.

**Be knowledgeable** — Get to know the Code well, so you can discuss topics and answer associate questions. Be able to point them to the right resources when you don’t know an answer.

**Keep an open door** — Make sure associates know that you’re always willing to take time to listen when they have ideas or concerns. Take each as an opportunity to find a solution and foster growth.

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**Offer help** — When an associate has a concern, don’t wait. Do What’s Right and report it to the appropriate parties. Cooperate with investigations, take recommended action, and stay alert for possible retaliation.

**How do I comply?**
R1 expects each of us to use good judgment in our work and:

**Comply with laws, rules, and regulations** — A number of laws apply to our business, and it’s up to you to understand how they apply to your work. Ask for guidance if you’re ever unsure about what’s expected of you.

**Comply with our Company policies** — R1 maintains a variety of policies and procedures that allow us to comply with laws and regulations and do our work ethically. Visit PolicyTech, become familiar with these policies, and follow them without exception.

**Speak up** — If you see, suspect, or become aware of a violation of any law, rule, regulation, or Company policy, you have a responsibility to promptly report your concern.

**What if a violation happens?**
When anyone working on R1’s behalf violates our Code, policies, or the law, it’s also a violation of trust. We take those violations seriously, no matter who is involved, and no matter if it was a direct violation, someone ignoring or failing to report a violation, or pressuring others to act unethically. If we find that a violation has occurred, the result could be corrective action, possibly even termination of employment. If a law has been broken, the consequences can be more severe, such as potential fines or criminal prosecution.

**How often is the Code updated?**
R1 believes in keeping our Code up to date, so we may make changes or amendments at any time as needed.
DECIDING TO DO WHAT’S RIGHT

We make so many decisions in our daily work. Will the decisions you make always be the right ones? The answer isn’t always clear. Put your actions to the test by asking yourself:

1. **IS IT LEGAL?**
2. **DOES IT REFLECT OUR VALUES?**
3. **DOES IT COMPLY WITH OUR CODE AND POLICIES?**
4. **WOULD MY MANAGER WANT ME TO DO THIS?**

If you answered “YES” to every question, that means the action is probably okay. Were any answers “NO” or “MAYBE”? Then stop what you’re doing. It’s always better to ask for guidance than to do something that might be wrong. Talk to your manager or a member of the Compliance & Risk Team before you act.
Speaking Up

You have a voice at R1, and we want to hear it. In fact, it’s your responsibility to ask questions and share concerns about possible violations of the law, our Code, or our policies. We also understand it isn’t always easy to do. To make sure you feel comfortable and confident, we offer several different ways to speak up:

Your Manager:
Your first option is your manager, who is always willing to hear your concerns. If you are comfortable, we encourage associates to first speak with their managers, or to open a service ticket for HR assistance. However, if you’re uncomfortable speaking with your manager or Human Resources, you may reach out to the Compliance & Risk Team via the methods listed below:

Email: Compliance@r1rcm.com or ComplianceIndia@r1rcm.com or Privacy@r1rcm.com

EthicsPoint:
If you’re uncomfortable speaking up directly, we also have the R1 EthicsPoint Hotline, a way to ask questions and share concerns in good faith 24 hours a day, seven days a week. The R1 EthicsPoint Hotline provides both telephonic and online options.

Contact the Hotline:

Email: Compliance@r1rcm.com or ComplianceIndia@r1rcm.com or Privacy@r1rcm.com

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Contact the Hotline:

Online: https://r1hotline.ethicspoint.com

By phone: See the Contacts section for a full list of EthicsPoint hotline phone numbers

It doesn’t matter which method you use — what matters is that you speak up. When we identify potential violations, we’re better prepared to protect our Company, our customers, and each other from harm — it’s a responsibility we all share.

Something else to keep in mind: this Code is not intended to prohibit you from reporting illegal activity to the appropriate regulatory authority or from testifying, participating, or helping in any state or Federal administrative, judicial, or legislative proceeding or investigation.

What happens next?
After the Compliance & Risk Team receives a report of a possible violation, we evaluate it and determine the appropriate team or department to conduct an investigation, working with Legal, HR, and IT as needed to address concerns. All investigations are conducted promptly and as discreetly as possible. There may be cases when we must also report violations to government authorities. Remember, we all have a duty to cooperate fully with investigations into misconduct. If an investigation reveals that a violation has occurred, we then determine the appropriate disciplinary action.

What about retaliation?
At R1, your ability to report concerns with confidence is vitally important. That’s why we don’t tolerate retaliation against anyone who shares a concern or cooperates in an investigation in good faith.

Check Our Policies
See our Whistleblower and Anti-Retaliation Policy for more information
Since our story began, R1 has evolved, grown, and set its sights on being an industry leader that operates with great customer service, thought leadership, and compassionate care. In doing so, R1 is continually building a talented and diverse associate population and community. That community is our heart and soul — our greatest asset, which we’re committed to protecting. You play an important role by practicing respect and watching for harmful behavior.
Healthy, Safe, and Secure Workplace

Whether in the office, at a customer site, or at home, one of the fundamentals of a great workplace is that it’s a safe and healthy place to work. Each of us has the power to contribute to our environment and preserve our safety and well-being. R1 provides you with a safe and secure workplace, but it’s up to us to stay alert and follow our safety and security procedures.

Support a safe workplace by always treating others with respect. Stay alert for any behavior that creates a threatening or intimidating work environment. Threats, even when made as a joke are prohibited, so speak up immediately if you feel unsafe. If we believe associates are in danger, we may inspect work areas or personal belongings, and R1 doesn’t tolerate retaliation against anyone who speaks up about concerns.

Help us maintain a safe work environment that is both substance-free and weapons-free by never abusing drugs or alcohol, and never bringing or possessing a weapon on Company property. Remember, R1 prohibits the use or possession of illegal drugs, and the use or possession of a dangerous weapon, while performing your work or while on Company property.

Learn More

Threatening behaviors might look like this: violent comments or imagery, direct or indirect threats, property damage, bringing in weapons, derogatory remarks associated with hate crimes, stalking, bullying, or direct acts of aggression like pushing, hitting, fighting, or throwing objects.
Healthy, Safe, and Secure Workplace continued

Do What’s Right

Work with Integrity
- Promote a respectful environment, free from harassing or threatening behavior.
- Follow all safety, environmental, and security laws that apply to our business.

Be Accountable
- Report workplace injuries and hazardous conditions immediately.
- Use R1’s Employee Assistance Program (“EAP”) if you wish to speak with a professional to discuss an issue in your personal life.
- Follow our security procedures by never allowing anyone into our facilities who isn’t authorized.

Be Collaborative
- Resolve conflicts calmly and respectfully, without resorting to threats or violence.

Be Vigilant
- Watch for signs of substance abuse or workplace violence. Call 911 (or other emergency personnel) in case of immediate danger.

In Practice

Q: A colleague of mine has been acting differently. Normally, he is engaged, upbeat, and very social with our team. Lately, I’ve noticed that he seems depressed, disengaged, and barely speaks to anyone. This has been occurring for several days. Should I keep this to myself?

A: No, while there are no threatening signs in his behavior, there may be an opportunity to help him before things get worse. Let your manager or other internal resource know about this change right away so they can address it appropriately and, if applicable, offer EAP.

Q: My coworkers and I observed an individual outside of my R1 workplace trying to gain access by telling us he had an appointment with Human Resources. If we didn’t let him in, he was going to be late for his appointment. We don’t feel comfortable giving him access to the building, but we don’t want him to be late. What should I do?

A: R1 associates should never provide access to anyone who does not have an R1 badge. If there is onsite security, it’s best to notify them of the situation. If there is no onsite security, notify your manager or even Human Resources.

Check Our Policies

See our Associate Handbook for more information (U.S. Only)
See our Violence and Weapons-Free Workplace Policy for more information
Visit the India HR Resource Center for more information
Building Our Workforce

At R1, we have built a global workforce focused on inclusion, diversity, and collaboration, fostering a culture where associates work together as a team in servicing our customers and supporting each other with trust and transparency. We understand the value of a diverse workforce and are committed to equal employment opportunities for everyone. That means we never discriminate or allow unfair treatment, and we rely on you to help keep discrimination out of our business.

If you make employment decisions, such as interviewing candidates, hiring, compensation, advancement or training, or even discipline or termination decisions, make them fairly. Base decisions on relevant factors like someone’s performance, qualifications, and skills — never on an individual’s personal characteristics. All employment decisions must be made without regard to any basis protected by federal, state, local, or other applicable laws.

No matter where you work, or with whom, remember that, while we are different, we are the R1 Team, and we share the same values and live The R1 Way. Promote an inclusive culture that finds strength in diversity of experience, culture, and perspectives. Be aware of personal bias, and work consciously to avoid it.

Learn More

Discrimination in employment happens when employment decisions are made based on protected categories like race, color, creed, ethnicity, religion, gender, sexual orientation, gender identity or expression, national origin, age, disability, protected military or veteran status, marital status, pregnancy, or genetic information.

Encouraging diversity helps us to attract and retain diverse talent, create opportunities, and adapt to change in our world. Diversity gives us new perspectives and the ability to meet challenges in creative ways.
Building Our Workforce continued

Do What’s Right

Work with Integrity
- Treat all associates fairly and consistently.
- Work to eliminate barriers that may be preventing us from tapping into our diverse community.
- Respect the diversity of all associates, customers, and third parties. Be fair in every interaction.

Be Accountable
- If you are in need of a medical or religious accommodation in order to perform your job responsibilities, consult our HR policies, or submit a service ticket for HR assistance.

Be Collaborative
- Invite input from everyone, especially from new sources to gain a fresh perspective.
- Support your coworkers and celebrate their achievements.

Be Vigilant
- Stay alert and speak up if you suspect or have experienced discrimination.

In Practice

Q: I was in the breakroom this morning and I overheard a manager explaining to a supervisor that they wouldn’t be hiring a particular associate because of poor attendance, as they have FMLA on file for health reasons. Should I say something?

A: You should notify your manager or Human Resources about this. If you’re uncomfortable speaking with your manager or Human Resources about this, you may reach out to the Compliance & Risk Team so the matter can be investigated. All employment decisions shall be made without regard to disability status or any other basis protected by federal, state, local, or other applicable law.

Q: I am currently interviewing candidates for an open position. I have identified two who are equally qualified candidates, but each with a different background and ethnicity. One of the candidates has a little more experience, but I want to be sure my decision doesn’t appear biased. How should I proceed?

A: It would be a good idea to have your next-level manager perform a final interview with each of the candidates to help with the decision-making process. You can also consult Human Resources or your recruiter. R1 does not make hiring decisions based on race, gender, or other protected categories. However, diverse perspectives, experiences, and backgrounds are important to build teams that are as effective, creative, and high-functioning as possible, and these factors will be important when selecting the best-qualified person for any role.
Harassment-Free Workplace

We’re committed to providing you with a workplace that’s positive and welcoming — free from hostility or harassment. Our actions determine the kind of workplace we’ll have, so make sure yours are positive.

R1 expects us all to practice respect. In your daily interactions, whether in person or online, be courteous of others — your colleagues as well as our customers and the public. Support one another by working collaboratively and valuing others’ contributions. Be positive, never targeting anyone for unfair treatment.

Stay alert for sexual harassment. It can take many different forms, and it isn’t always obvious. It can be verbal, physical, or visual, and almost anyone, regardless of gender, can be harassers. Be mindful of your own actions — harassment doesn’t have to be intentional to be unlawful.

Learn More

Harassment is unwelcome behavior, based on a person’s protected category, that creates an offensive, hostile work environment, or prevents someone from doing their job. It can be verbal or physical in nature and can take many different forms such as comments, gestures, suggestions, and sharing sexual or discriminatory materials. However, constructive criticism, performance feedback, and supervisory actions based on performance deficiencies or other valid workplace issues are not harassment or retaliation.

Sexual harassment involves sexual advances, requests for sexual favors, or other conduct of a sexual nature. It could also involve touching, sharing sexual materials, threatening, or continuing to make sexual advances after being rejected, or inappropriate verbal or physical conduct.

Do What’s Right

Work with Integrity

• Practice respect in your interactions with each other as well as third parties and customers.
• Don’t tolerate any acts of harassment.

Be Collaborative

• Support others in your work, and never unfairly single out anyone in a negative way.
• Be respectful when communicating with all others, whether in person or electronically.

Be Vigilant

• Watch for signs of harassment in your own behavior and in others.
• Harassment is never okay, so speak up if you see, suspect, or experience it.

In Practice

Q: A colleague confided in me that he had been experiencing sexual harassment by a manager. He says he doesn’t want to report it, but I can tell it’s bothering him. Should I stay out of this?

A: No, you shouldn’t. While you want to respect his wishes, it’s important that we know about this behavior, since we won’t tolerate it at R1. Report your concerns to a manager or other internal resource right away.

Check Our Policies

See our Non-Harassment Policy for more information
Abusive Conduct and Bullying

We’re all at our best when we feel comfortable and appreciated at work. But when bullying and abusive conduct are allowed to occur, the results can be devastating, from lost productivity and absenteeism to legal costs and harm to our reputation. We all have a duty to keep this behavior out of our workplace.

Treat everyone you encounter fairly and respectfully, especially if conversations become tense and tempers grow short. When you do, you help to diffuse difficult situations and set a good example.

If you see behavior like bullying occurring, speak out against it. Sometimes, that’s all it takes to end the cycle of abuse — for one person to stand and tell those involved that it’s unacceptable. If you feel you cannot, then speak to your manager or other internal resource.

Learn More

Bullying occurs when a person or group of people repeatedly mistreats someone and the bully doesn’t necessarily harass based on protected characteristics like race, religion, or gender. Bullying includes verbal or emotional abuse, offensive conduct, isolation, as well as nonverbal behaviors that are threatening, humiliating, or intimidating.

Abusive conduct is similar and may include repeated verbal abuse, like using derogatory remarks, insults, and epithets. It could also be physical conduct that might be seen as threatening, intimidating, humiliating, or undermining someone’s work performance.

Do What’s Right

Work with Integrity

• Use care in your interactions with others. Always be fair and respectful.

Be Collaborative

• Become familiar with bullying, what it looks like, and the effects it has on others.

Be Vigilant

• Don’t ignore signs of bullying or abusive conduct.
• Speak up if you see, suspect, or have experienced this behavior.

In Practice

Q: Recently, I have noticed my supervisor making comments in our team meetings towards staff such as, “Why can’t you figure this out? You shouldn’t need to ask me for help! You should be able to do this on your own! Maybe you’re not the right person for the job!” She has been belittling us in front of each other and making fun of our ideas when we suggest process improvements. Is this considered abusive conduct and bullying?

A: Yes, this conduct may violate the Non-Harassment Policy or our Professional Conduct Policy. You should report these examples to the next-level manager or Human Resources so the situation can be investigated. If you are uncomfortable with discussing this with this person’s manager or Human Resources, you may reach out to the Compliance & Risk Team for assistance.

Check Our Policies

See our Non-Harassment Policy for more information
See our Professional Conduct Policy for more information
Mandatory Workplace Training

We understand that an aware and well-trained workforce is central to our productivity and professional development. By providing mandatory workplace training, we’re able to promote a culture at R1 that values, supports, and sets clear expectations for legal and ethical conduct.

As an R1 workforce member, whether an associate or a contractor, you have a responsibility to learn continuously by completing all mandatory training. Some of this training is legally required, such as discrimination and harassment training. The courses we provide will expand your knowledge of the laws, regulations, and guidelines that apply to our business and help you know what you must do to comply. Failure to complete mandatory training can negatively impact your annual performance review.

Learn More

Developing others and ourselves is a top priority at R1, so we strive to maintain an environment where everyone has the opportunity to operate at their best and succeed. Training is a key component in this effort.

Proper training yields benefits beyond simply meeting legal requirements. It leads to greater long-term employment, improved associate performance, and higher productivity and morale.
Mandatory Workplace Training continued

Do What’s Right

Work with Integrity
- Welcome opportunities to receive training, recognizing how they benefit you and our Company.
- Ask for help whenever you have questions or if something doesn’t seem right.

Be Accountable
- Complete your training in a timely manner to ensure you’re compliant and familiar with R1 policies and regulations.

Be Collaborative
- Encourage your colleagues to take their required training and talk about what you’ve learned.

Be Vigilant
- Seek out opportunities for further training and education.
- Speak up if you have questions or concerns about anything you have learned.

In Practice

Q: It seems like I just took a Privacy training last year. Do I really have to do it again?
A: Yes, you do. Because we handle protected health information (“PHI”) in our work, we are required by law to receive Privacy training each year. It helps us comply, and it also keeps this important information top of mind.

Check Our Policies
See our Compliance Training Policy for more information
We share a vision of being the one trusted partner to seamlessly manage revenue so providers and patients can focus on what they do best — and what matters most. Help us achieve that goal by handling the personal and confidential information entrusted to you with the utmost care.

» Interactions with Patients, Customers, and Healthcare Professionals
» Data Privacy and Security
» Quality Assurance
» Fraud, Waste, and Abuse ("FWA")
Interactions with Patients, Customers, and Healthcare Professionals

Our work, our interactions, and our decisions all relate back to our ultimate goal of ensuring great service to our healthcare customers. Because we interact with a variety of people, everything we do must further that goal. No matter what role you play at R1, focus on living our values through The R1 Way and always Do What’s Right.

Interactions with Patients, Customers, and Healthcare Professionals

Remember that patients come first, a commitment which you can help us fulfill by following all of the laws and regulations that apply to our business. When you do, you instill trust in our Company and allow our customers to focus on better managing care for their patients.

Learn More

A healthcare professional could be either a doctor, nurse, pharmacist, or anyone working in a healthcare setting or on behalf of a healthcare practice. Our interactions with them highlight the importance of being courteous, friendly, helpful, considerate, supportive, and prompt in offering help. Remember to have empathy and compassion when interacting with them and the patients you serve together.

Do What’s Right

Work with Integrity

- Follow all of the laws and regulations that apply to us in every interaction and decision.
- If you see something that doesn’t make sense or a potential risk, make it known.
- Respect the privacy and dignity of patients with whom you interact; protect the privacy of their personal information.

Be Collaborative

- Reflect our values in every interaction with patients, customers, and healthcare professionals.
- Be honest and transparent in all communications with patients, customers, and healthcare professionals.
- Ask for help and utilize resources when you don’t have a solution or know the answer to a problem.

In Practice

Q: My coworker needs help with an account and sent me a text on my mobile phone. She included patient information within the text. Since it’s work related and I need this information to help her with the account, are there any concerns?

A: Yes, we should never share patient information by any type of text message (e.g., on your cell phone, through third-party apps like WhatsApp or through social media channels such as Facebook or Instagram messages). Contact the Compliance & Risk Team so it can be investigated.
Data Privacy and Security

Information and innovation drive our work. Because of the services we provide to our customers, we have access to a great deal of their confidential information — including the Protected Health Information (“PHI”) of their patients and information related to their business and operations — all of which we are required to protect.

If your job gives you access to any type of confidential information, whether it belongs to R1, a third party, or one of our customers, you have a responsibility to only use and disclose it as necessary to do your job and to protect it from possible misuse or disclosure. It’s vitally important to R1’s and our customer’s business and reputation.

This means that you may only access or use the information you need to do your work. Never share it with anyone, either inside or outside of R1, unless they are authorized to have access to it (and disclosing it to them is part of your job). Your obligation to protect any confidential information you learn of continues even if you leave the Company.

Learn More

Confidential information is any information you have access to while working for R1 that is not available to the public. This includes information about:

- Patients — like PHI that could identify or be used to identify someone and that relates to the past, present, or future health of a patient, the provision of health care, or the payment for health care. PHI is very broad and you should treat any and all information about a patient as PHI. For example, it includes patient names, addresses (including something as simple as a zip code), phone numbers, any date related to the patient (like their birth date, date of death, or date of service), Social Security Numbers, medical diagnoses, family illnesses, and other personal information.

- Our Company — like personnel information, business plans, acquisition strategies, and financial reports.

- Third Parties — like pricing or contract terms with vendors, contractors, and other business partners.

- Customers — like information on projects, transactions, and financial data.

Do What’s Right

Work with Integrity

- Only access, use, or disclose confidential information, including PHI, as necessary to do your job and only access, use, or disclose the minimum amount of information necessary to accomplish the task. When disclosing confidential information, including PHI, only disclose that information to someone who is authorized to receive it.

- Never disclose confidential information on social media and always be careful to not discuss confidential information in any public area where others may be able to overhear you.
Data Privacy and Security continued

Be Accountable

- Learn to recognize what information is confidential, and if you do not know, always ask.
- Understand and follow our Data Privacy and Security Policies to ensure that you are properly protecting data.
- Securely store materials and documents that contain confidential information, including PHI, and dispose of them securely (e.g., shred them) when they are no longer needed.
- Never share your username and password, and lock and log off your computer whenever it is not in use.
- Be aware of suspicious emails, including those with attachments and links, and always report potential phishing emails to the Information Security Team by clicking on the “Report Phishing” link at the top of each of your emails in Outlook.
- Always send confidential information, including PHI, in a secure way, including encrypting all emails that contain PHI.
- Never use personal accounts (e.g., email, social media, text messaging) to send confidential information, including PHI.

Be Vigilant

- If you suspect that confidential information has been disclosed, speak up immediately.

In Practice

Q: My coworker has a habit of not locking her computer when she gets up from her desk. It concerns me because she has access to PHI on that computer, and other people may have access to it when she walks away. When I reminded her to log off / lock her computer, she said that her screen will auto lock after a few minutes so it’s okay. Is that true?

A: No, we must always log off or lock our computers when we are not working at them, even when working from home. If you walk away from your computer, you must lock it to safeguard all confidential information.

Q: A family member called in about a patient’s account. They were not listed in the patient’s record as an approved contact and no authorization or release of information is on file for that family member. The family member was able to verify all the patient’s PHI. Can I disclose details listed on the patient’s account?

A: No, you may not. You cannot be sure that the patient will want this information disclosed to this individual. Ask the individual to have the patient contact us to update their records so that we may obtain patient permission, and then we can disclose the information they are requesting.

Check Our Policies

See our Confidentiality Policy for more information
See our HIPAA Use and Disclosure Policy for more information
See our HIPAA Security Safeguards Policy for more information
Quality Assurance

We are passionate about building long-term customer relationships, and delivering a quality service is critical to meeting this goal. The emphasis we place on quality assurance starts with the integrity of our systems and our processes, but it doesn’t end there. It extends to the quality of every task we do and every interaction we have.

If you are responsible for the operation of our software and platforms, monitor performance to ensure compliance with our quality standards. If you are responsible for data collection or data entry, be accurate and careful, and protect the privacy of PHI.

Finally, if you are responsible for communicating with providers and patients, demonstrate honesty, integrity, and compassion in every transaction. Remember our compliance principles and do your part to both maximize patients’ understanding and minimize administrative burden.

Do What’s Right

Work with Integrity

- Look for opportunities to introduce efficiencies and improvements that will enhance the customer experience.
- Keep in mind that your work has a direct impact not only on business decisions but also on customer satisfaction.
- Make sure that all information you work with or submit is accurate and timely; never submit information that isn’t accurate in order to boost your performance.

Be Accountable

- Carry out your work precisely, accurately, and in conformance with standard operating procedures.
- Continually monitor our service quality to make sure it meets our high standards, and take appropriate action if it does not.
- If you’re having trouble meeting productivity or quality expectations, reach out to your manager to discussing additional training opportunities or operational solutions to the problem.

In Practice

Q: I observed coworkers falsifying their productivity and quality data, and they are encouraging me to do the same. I am not comfortable falsifying my work product. What should I do?

A: You have an obligation to share your concern. You can discuss this matter with your manager, or you can contact the Compliance & Risk Team.
Fraud, Waste, and Abuse (“FWA”)

We aim to support a healthcare system that is affordable for everyone, and addressing fraud, waste, and abuse (“FWA”) is essential to achieving this goal. By working together, we can improve our healthcare system and reduce costs for our customers and workforce members.

Each of us is responsible for making a difference, so do your part by understanding what constitutes FWA. FWA can range from honest mistakes that result in incorrect billings, to inefficiencies that result in duplicate tests, and to false claims that result in improper payments.

Work to prevent all forms of FWA by identifying, investigating, correcting, and appropriately reporting any and all suspicious activity. When you do, you help protect not only our integrity, but our compliance with all relevant laws, regulations, and whistleblower protections.

Learn More

Fraud includes the intentional filing of dishonest healthcare claims in order to bill for more than is proper. Examples include up-charging, billing for care that was never rendered, or providing false information when applying for programs or services.

Waste includes overusing services, or other practices that, directly or indirectly, result in unnecessary costs.

Abuse includes actions that may, directly or indirectly, result in payments for items or services when there is no legal entitlement to those payments.

Do What’s Right

Work with Integrity

• Strive for accuracy and excellence in service, coding, and billing and make sure those around you do so too.
• If you work in billing, benchmark your billing data against similar providers and investigate any discrepancies.

Be Accountable

• Remember that the best way to fight fraud is to report it. Speak up immediately if you see or suspect a violation.
• Continually monitor the Office of Inspector General’s compliance guidance documents. They are aimed at various segments of the healthcare industry and can help us remain compliant. Also keep an eye out for periodic Regulatory Alerts from the Compliance & Risk Team.
• Become familiar with common terms and examples of FWA, such as unbundling, up-coding, misrepresentation, and double billing.

In Practice

Q: I have been asked to code a procedure a particular way. In doing so, this would be unbundling the procedure. What should I do?

A: We encourage you to share your concern with your coding manager in order to receive guidance. If you are still concerned with the direction you receive, you may also reach out to the Compliance & Risk Team.
As the R1 story continues to unfold, each of us has a critical role to play. Regardless of the job you do or the location in which you do it, conduct business with uncompromising integrity. Demonstrate to coworkers, business partners, providers, and patients that our Company operates lawfully and ethically.
Compliance with Laws, Rules, Regulations, and Our Own Policies

R1 is a unique organization operating in both the healthcare and financial services spaces — two highly regulated industries, where the requirements are both complex and strict, and the consequences of committing a violation are serious. We also have developed detailed policies and procedures to guide our decision making in daily interactions and ensure accountability across this complex environment. Further, as a company, we understand the sensitivity of the information we handle and the vulnerability of the patients we serve.

Trust is at the heart of what we do, so we each have an obligation to conduct business in compliance with all applicable laws, regulations, contractual requirements, and R1 policies and procedures. It’s critical that you know how these requirements apply to you and the work you do for R1. When you do, you help us to meet both our legal and ethical obligations.

Each associate is expected to follow both the spirit and the letter of the law. Additionally, our Code of Integrity will at times exceed legal requirements. Where our Code of Integrity sets a higher standard than the law requires, you must follow our Code. Remember, we have resources like the Code and our Compliance & Risk Team to help support you in ensuring compliance in our everyday efforts.

As an associate, we expect you to be accurate, complete, and thorough in carrying out your daily responsibilities. We also expect you to be alert to acts of fraud because this type of activity can not only violate the law, but harm the reputation we’ve earned as a trusted partner. If you have any questions, review applicable policies available on PolicyTech, participate in job-specific training as needed, or ask your manager or the Legal Department for guidance at any time.

Did You Know?

As a corporation based in the United States with U.S.-based customers, we comply with U.S. healthcare laws. Be sure to complete all training related to important topics, including, but not limited to:

- The False Claims Act
- The Anti-Kickback Statute
- The Civil Monetary Penalties Law
- The Foreign Corrupt Practices Act

In addition, we monitor and stay up-to-date with all country-specific and international laws and regulations that apply to our operations and delivery of services, ensuring continued compliance with such laws and regulations.
Compliance with Laws, Rules, Regulations, and Our Own Policies continued

Learn More

Fraud includes intentionally deceiving someone — by lying about, concealing, or omitting information — in order to gain an unfair advantage or an improper benefit for yourself or for someone else. Examples of fraud include: requesting payment for a service that wasn’t actually performed or billing for a more costly service than the one that was actually provided.

Do What’s Right

Work with Integrity

- Watch out for any acts of fraud as any misconduct can reflect negatively on our Company.
- Be especially alert to suspicious activity associated with the particular type of work you do, and be prepared to challenge any request that seems improper.

Be Vigilant

- Raise concerns if you suspect services weren’t actually provided, improper codes were assigned, or billing is incorrect.
- If you see or suspect a violation of the law, our policies, or our Code of Integrity, immediately report it to your manager, a Compliance & Risk Team member, or the R1 EthicsPoint Hotline.

In Practice

Q: I suspect that someone in my department is committing an act of fraud. I don’t have a lot of evidence to support this, and I am hesitant to say anything since I don’t want to get involved. What should I do?

A: Speaking up is never easy, but it is always the right thing to do. You have an obligation to share your concern, provided that you are acting in good faith in bringing forward your suspicion or concern. Remember, a violation, left unreported, can result in significant harm to our Company and the customers and patients we serve. Ultimately, acts of fraud affect the affordability of health care for all of us.

Q: My teammates consistently share login credentials to specific websites to perform their job duties. Since it’s business related and they need access to these websites, is this practice acceptable?

A: No, we should never share login credentials. This creates a security risk for R1, customers, associates, and yourself. Discuss this with your manager. If you do not feel comfortable, you can always contact the Compliance & Risk Team for this to be investigated.
Anti-Corruption

As a company that is committed to operating honestly and with integrity, we never offer, give, ask for, or accept any form of bribe or kickback. Doing so would violate everything that we stand for as a company. If you find yourself in a situation where the only way to close a sale or arrive at a decision is through payment of a bribe, don’t do it. Business won this way is not business we value or want.

Follow anti-bribery and anti-corruption laws wherever you do business. Regardless of what local law may permit, R1 is subject to the Foreign Corrupt Practices Act (“FCPA”). As such, R1 workforce members must never offer, pay, promise to pay, authorize to pay, or accept anything of value — either directly or indirectly — to win business, keep business, or gain an unfair advantage.

In addition to our own actions, we are responsible for the acts of third parties acting on our behalf, and we are liable for any corrupt payments they offer. Take the time to know who we are working with, the business practices they employ, and the reputation they have for operating honestly and ethically.

Learn More

A bribe can be anything of value and something other than cash, such as a gift, a job offer, a trip, or even a contribution to your favorite charity if it’s offered in exchange for an improper decision.

A government official can be not only an elected official but also an employee of a government agency. It can even include individuals who work for enterprises owned or controlled by the government, such as medical personnel and employees working for a public or state-owned hospital. Bribes made to anyone are improper, but bribes made to government officials can result in even more significant repercussions.
Antitrust, Honest and Fair Dealing

Working honestly, ethically, and fairly is fundamental to the way we do business. We compete vigorously, embrace a free and open marketplace, and comply with competition and antitrust laws in the countries and the markets where we operate.

Uphold our reputation for fairness. Never enter into any agreement with a competitor, supplier, or other third party — either formally or informally — that relates to a competitive matter. If your job involves participation in trade association or industry-setting groups, don’t discuss any topics that could be considered competitively sensitive. Avoid even the appearance of something improper.

Be responsible in promotional efforts too, from how you interact with healthcare professionals to how you promote our services. Be truthful about the quality, features, and availability of what we offer, and never take unfair advantage of anyone through manipulating, concealing, abusing privileged information, misrepresenting material facts, or any other unfair-dealing practice.

When it comes to gathering competitive information, rely on legitimate sources. Never deceive anyone, abuse confidential information, or misrepresent who you are or who you work for in order to gain a competitive edge.

Learn More

Legitimate sources are public sources and include: published industry surveys, information presented publicly at trade shows or conferences, or generally available via Internet searches and public documents.
Antitrust, Honest and Fair Dealing continued

Do What’s Right

Work with Integrity

• Never discuss with our competitors matters related to pricing, costs, terms, or conditions of sale, wages, or the division of customers, suppliers, or markets.

• Never make disparaging remarks about our competitors or unfair comparisons between what they offer and what we offer.

Be Accountable

• Compete based on the merits of our services, never on unfair or illegal practices.

• Tell the truth about our services and our capabilities, and never make promises we can’t keep.

• Make sure that any claims you make are backed by the facts, and any literature you distribute accurately reflects who we are and what we do.

Be Vigilant

• Bid fairly — never participate in any form of bid rigging if you’re involved in the bid preparation process.

• Never engage in anything illegal or improper in order to gain access to a competitor’s trade secrets, customer lists, or financial data.

In Practice

Q: You previously worked for a competitor, but have recently started a position with R1. A new colleague of yours is working on a project and asks you if you would reach out to your former coworkers for information to assist with his analysis. You want to be a team player, but have concerns. What should you do?

A: It’s imperative that we conduct business ethically and honestly. Even if we have connections with competitors, requesting this information would not be appropriate. Notify your team member that while you would like to help, this would not be appropriate to ask of your former colleagues and would be against our Code of Integrity. You could then see if there is an alternative way you could help to support your team member.
Exclusion Screening

We are committed to maintaining high-quality service and integrity in our financial and business operations and to combating healthcare fraud. Therefore, we comply with all requirements and take all necessary steps to inspect and screen associates, contractors, and vendors against U.S. government and international lists of excluded individuals and entities, known as “denied parties.”

The U.S. Department of Health and Human Services Office of Inspector General ("OIG"), the General Services Administration, and various states provide lists of those that have been excluded from Federal programs. Such an exclusion is a penalty imposed by the government, on those who have engaged in fraud, abuse, or other misconduct (e.g., practicing with a revoked license) related to a Federal healthcare program.

The OIG maintains a List of Excluded Individuals and Entities ("LEIE"). The LEIE is available on the OIG’s website. Prior to hire, all associates are screened against this list, and, prior to contracting, contractors and vendors are also screened against this list. Additionally, the Compliance & Risk Team routinely searches these lists of excluded individuals on an ongoing, monthly basis to ensure that the status of our associates, contractors, and vendors has not changed. We will not employ any denied party. Further, excluded individuals are not permitted to work at R1. In addition, R1 will not use an excluded contractor’s services, nor pay for products that an excluded vendor provides.

If during the course of your R1 employment you have been excluded from participation in Federal programs and are on an exclusion list, you are obligated to inform the Compliance & Risk Team promptly. If you have a question about whether an individual or entity has been properly screened, contact a Compliance & Risk Team member.
Suppliers and Vendors

The best partners are not just those who deliver on time; they also work honestly and ethically and share our commitment to best-in-class service. As a company, we seek out business partners who recognize the importance of high standards and high performance. Then we work closely together, building business relationships based on mutual trust and respect.

If you are responsible for sourcing our suppliers and vendors, follow our procurement policies and make choices based on objective criteria, considering factors such as quality, service, price, experience, and reliability. We can be held responsible for acts of third parties who work on our behalf, so exercise due diligence in making a selection.

If you are responsible for managing our relationship with suppliers and vendors, communicate our expectations. Monitor contracts and performance to make sure they are meeting their commitments and we’re meeting ours — the obligation to deal honestly and fairly goes both ways.

Learn More

We expect our vendors and suppliers to:

- Ensure the quality of the products and services they provide to us
- Ensure the cost of their products and services are reasonable
- Follow the law and our Third-Party Code of Conduct
- Follow the policies and procedures of our customers, as applicable to the products and services they provide
Suppliers and Vendors continued

Do What’s Right

Be Accountable
- In interacting with business partners, follow the rules related to gifts and entertainment and avoid conflicts of interest.
- Safeguard the confidential information of our business partners, protecting it in the same way we protect our own.

Be Collaborative
- Remember, our vendors and suppliers are of great strategic importance to R1 — provide clear guidance, treat them fairly, and ensure timely payment.
- Hold them to our high standards, especially with respect to preventing bribery and protecting data privacy.

Be Vigilant
- Make sourcing decisions objectively, without regard to personal gain or relationships.
- If you see or suspect conduct by third parties that violates our Code, address it immediately — speak with your manager or other internal resource.

In Practice

Q: I work closely with third-party vendors. In my communications with a particular vendor, I learned that they may be violating R1’s Third-Party Code of Conduct. To whom should I report these concerns?

A: Any concerns related to potential violations of R1’s Third-Party Code of Conduct should be reported to the Compliance & Risk Team.

Check Our Policies

See our Global Source to Pay Policy for more information
See our Third-Party Code of Conduct for more information
Gifts and Entertainment

Talking with a supplier about a project over lunch, attending a local sporting event with a vendor, accepting a nominal gift during the holidays — the offer or acceptance of business courtesies like these can foster good business relationships and would not reasonably be expected to affect an associate’s independent judgment in the performance of his/her job. However, we have a responsibility to make sure that anything we give or receive is reasonable, serves a legitimate business purpose, and is permitted by the policies of both parties.

Gifts include material goods, services, discounts or personal purchases of goods and services, non-business entertainment, personal travel or lodging, or any other arrangement that benefits the individual recipient. Acceptable gifts must be of nominal value and should be infrequent and unconditional.

When it comes to gifts and entertainment, you have a duty to know what is appropriate and what isn’t — and to seek guidance anytime you are unsure. Questions about the value of a gift or the appropriateness of an invitation should be referred to your manager or the Compliance & Risk Team. Also, consider it a best practice to always seek approval before offering or accepting anything of value and to document every exchange.

When acting on behalf of R1, keep in mind that offering any gift, meal, or form of entertainment to a government official, lobbyist, or non-501(c)(3) organization is generally prohibited.

Learn More

Always use good judgment and common sense. An appropriate gift:
- Is nominal
- Is occasional or infrequent (less than three times per year)
- Builds goodwill and furthers a business relationship
- Is given with no expectation of anything (or any action) in return
- Could never be viewed as a bribe or a kickback

To receive prior written approval for an item, complete the “Approval for Providing and/or Receiving Meals, Entertainment or Gifts to or from Vendors” form, provided on PolicyTech.
Gifts and Entertainment continued

Do What’s Right

Work with Integrity

- Never solicit a gift or offer.
- Think about appearances — don’t engage in any activity that could raise concerns about your personal integrity.
- Report any offer worth more than nominal value to your manager, even if it is not accepted.

Be Vigilant

- Be a good steward of R1 funds and make sound business judgments on our behalf.
- Refuse any gift that doesn’t comply with the law, our policies, or our Code.
- If you purchase a gift or other item, be sure to have the required documentation (e.g., original receipts and written approvals).

In Practice

Q: The holidays are fast approaching, and I have the budget to get my team some gifts. Can I get my team some gift cards instead of buying them each something individually?

A: No, gift cards are only allowed in very limited circumstances. Given the tax implications they can cause for your team members, we recommend that you get them something non-monetary in nature. If you are unsure, you can always reach out to the Compliance & Risk Team for clarification.

Q: A long-term vendor that I work with wants to take me to a baseball game to celebrate completing a large project and to discuss plans for the next project that we’ll be working on together. Would it be okay to accept this offer?

A: Maybe. Accepting gifts or entertainment from vendors can look like an attempt to influence you to keep their business and should be considered cautiously. You should let your manager know about the offer, and you both should discuss the matter with Compliance & Risk before accepting anything.

Check Our Policies

See our Gifts and Entertainment Policy for more information
Political Contributions

We want to be a good neighbor and contribute to the health and welfare of the communities in which we operate. As a company, we support and encourage associates’ involvement in political activities on a volunteer basis, but make sure you participate on your own time, at your own expense, and that your service is lawful and consistent with our policies.

You have the right to make personal contributions to a political party, campaign, or candidate; however, don’t make any contributions on behalf of R1, or expect to be reimbursed for any contributions you make. Keep in mind that all gifts and donations to political parties, lobbyists, and similar organizations on behalf of the Company are strictly prohibited. Also, unless you receive approval in advance, you may not use R1 funds or assets (including time, facilities, equipment, or the R1 name) to support your personal political activities.

Learn More

Political participation can mean making a contribution, speaking on behalf of a candidate, running for office, printing out/displaying campaign or political materials, or making phone calls. Give your personal time and resources to causes that you are passionate about, but take care to keep them separate from the work you do at R1.

Do What’s Right

Work with Integrity

• Don’t pressure colleagues to get involved in the causes that you, as an individual, support.
• Don’t offer gifts or payments to government officials or politicians with the hope of a business-related favor in return.
• Don’t display campaign or political materials at your desk or in the workplace.

Be Accountable

• Don’t make any political contributions on behalf of R1 — either directly or through trade associations.
• Make it clear in any of your political endeavors that the opinions you’re sharing are yours and not R1’s.

In Practice

Q: I have noticed during a recent virtual Teams meeting that one of my coworkers has political posters displayed in their background. They are clearly visible to those of us attending the meeting. Can I ask that these posters be taken down or that the background be blurred?

A: Yes, we should not have signs, posters, or any political materials visible, either in the workplace or our home office. If you feel comfortable asking your colleague to blur their screen or remove the material, you should. If not, share your concerns with your manager, HR, or the Compliance & Risk Team.
We put our values into practice every day and use them to guide the decisions we make. This value-driven approach helps us comply with the law. It also helps us to do the right thing for each other, for our Company, and for those we serve. We can go home at the end of the day knowing we’ve given our very best. We make the right decisions, for the right reasons, every day.
Financial Integrity

Financial integrity requires more than the watchful eyes of good accountants; it requires a strong commitment from each of us. Whether you’re filling out your timesheet, filing a benefit claim form, or completing a budgeting forecast, record transactions honestly, accurately, and completely.

When you handle business records with care, you’re helping to enhance our reputation and to build our credibility with customers and shareholders. Follow all internal processes, policies, and generally accepted accounting principles so that our records accurately reflect our operations.

If you have a concern about a financial matter, speak up. You can do so confidentially and anonymously through the R1 EthicsPoint Hotline. Your concern will be evaluated and resolved through follow-up actions if needed.

Learn More

Business records include any document or data with information related to a business dealing. Examples include:

- Accounting reports
- Contracts, bids, and proposals
- Customer reports
- Emails and other correspondence
- Expense reports
- Financial records
- Meeting minutes
- Performance evaluations
- Regulatory filings
- Timesheets and invoices
- Vendor invoices
Financial Integrity continued

Do What’s Right

Work with Integrity
- Do not falsify or mischaracterize any record, account, or transaction.
- Never establish any undisclosed, unrecorded, or off-the-record accounts for any purpose.

Be Accountable
- If you submit expenses for reimbursements or make payments on behalf of R1, include supporting documentation and all necessary approvals.
- Make sure you always record and classify transactions in the proper accounting period and in the appropriate account and department.

Be Collaborative
- If your job requires that you disclose information to R1, the government, or regulatory authorities, make sure the information you provide is full, fair, accurate, timely, and understandable.
- Never destroy or dispose of information that might be needed for an investigation, an audit, or a legal proceeding.

In Practice

Q: I am responsible for approving expenses from numerous associates who report to me. Do I need to review each expense, or can I trust that the expenses are legitimate and accurate?

A: You should review each expense to determine whether it is legitimate, accurately recorded, and appropriately supported. If an expense appears unusual in any way, seek clarification from the associate. Signing off on expense reports without reviewing them could be considered a form of falsifying records, which violates our Code.
Insider Trading

At R1, fairness is important to us. As we compete in the marketplace and make investment decisions, we believe everyone should play by the same set of rules. That’s why we never trade on material, nonpublic information (inside information) and we never tip off others so they may trade. Doing so would constitute insider trading, and insider trading is not just unfair; it’s illegal. If you come across inside information during the course of your job, do not use it for your own personal gain and do not share it with others. These actions could result in serious penalties, including termination and jail time. Even the appearance of insider trading could cause severe consequences, so ask questions before you act and consult with a member of the R1 Legal Team if you are unsure of something.

Learn More

Inside Information is information that has not been released to the public, but may influence investors to buy, sell, donate, or hold stock or other securities once it is released. Examples of inside information include nonpublic details about:

- Revenues or earnings
- Mergers or acquisitions
- Changes in management
- The loss, gain, or announcement of a significant contract or customer, or other important development regarding a customer
- The public or private sale of a significant amount of securities at R1
- Defaults on debt

Tipping is telling someone nonpublic information about a company that may motivate that person to trade on that company’s securities.
Insider Trading continued

Do What’s Right

Work with Integrity

- Check Company information through public sources (e.g., websites, journals, and newspaper articles) before sharing it with others.
- If you’re unsure whether or not something is inside information, treat it like it is until you speak with the R1 Legal Team.

Be Vigilant

- Pay attention to blackout periods. There may be times when you have to refrain from trading even if you don’t have inside information.
- Before trading in Company securities, double check insider trading laws and our policies to stay current.

In Practice

Q: I have been thinking about buying R1 stock. I recently learned that we are in negotiations to acquire another company. If I made a stock purchase now, would this be considered insider trading?

A: Yes, this would be considered insider trading. You cannot purchase stock if you know of material, nonpublic information, such as knowledge about potential, upcoming business transactions. You should consult the Legal Department and R1 policies on this topic if you have any questions or concerns.

Check Our Policies

See our Insider Trading Policy for more information
Conflicts of Interest

When we consistently act in ways that put our customers and our Company first, we maintain an environment where everyone has the opportunity to succeed. It makes us feel connected to one another and a larger purpose, which helps keep us all accountable. Conflicts of interest can chip away at this, so we work to avoid them.

Conflicts of interest arise when your personal interests affect your ability to make objective business decisions for R1. Even the appearance of a conflict can damage our Company and our reputation. Recognize the sometimes-blurry line between personal and professional interests, and be diligent about preventing personal interests from influencing your actions on behalf of R1.

Start by getting to know the types of situations that can lead to conflicts. While it’s not possible to list every situation that can present a conflict of interest, here are a few circumstances where they typically occur:

- **Personal relationships**: directly or indirectly supervising or making employment decisions about a friend, family member, or someone with whom you have a romantic relationship

- **Outside activities or employment**: engaging in any activity that could interfere with your ability to do your job.
  Note: R1’s workforce in India is not permitted by R1 policy to engage in dual employment.

- **Improper benefits**: receiving improper personal benefits as a result of your position with our Company

- **Gifts and entertainment**: exchanging excessive gifts or offers of entertainment or hospitality with people or companies that either do, or seek to do, business with our Company

- **Business opportunities**: competing with our Company, or taking advantage of opportunities discovered through a connection with our Company

- **Solicitation or distribution**: soliciting others or distributing materials during working hours for personal, non-work-related causes

Any potential conflicts should be disclosed to the Compliance & Risk Team immediately. Certain associates may be required to participate in R1’s Annual Disclosure of Professional Relationships survey process and disclose certain personal and professional activities to ensure that R1 is aware of and can account for its associates’ interests in the healthcare landscape.

Learn More

Spotting a conflict of interest is not always easy. If you find that you need additional guidance, try answering the following questions. If you can answer “yes” or even “maybe” to any of the questions below, you may be in a situation that could pose a conflict:

- Will it interfere with the decisions I make on behalf of R1?
- Will it compete against R1’s business interests?
- Am I using R1 resources or my position for personal gain?
- Could it appear to be a conflict to someone else?
- Will it put me in a position of divided loyalty?
Conflicts of Interest continued

Do What’s Right

Work with Integrity

• Never use your position at R1 for personal gain.
• Disclose any actual, potential, or perceived conflict of interest immediately.
• Check with your manager or Compliance & Risk if you’re unsure about a situation.

Be Accountable

• Recognize the kinds of situations where a conflict of interest would typically arise.
• Regularly review at-risk areas and stay current on our policies.

In Practice

Q: One of our suppliers mentioned that my manager is on the board of directors for his company. When I asked my manager about it, he said that it was nothing to worry about. Should I say something?

A: Yes, you have a responsibility to not only disclose any personal conflicts of interest, but also to speak up if you suspect that others have a conflict. Disclose the potential conflict to the Compliance & Risk Team immediately.
Protecting Company Assets and Property

Ethical behavior doesn’t just apply to how you treat other people, it applies to how you treat other people’s property. You may not often think about it, but during a seemingly routine workday, you have responsibility for a large amount of R1 assets, whether they be physical, electronic, or intellectual, and it’s up to you to protect them.

Protect R1 assets and property against theft, fraud, loss, carelessness, and abuse. Never use them for your own personal gain, or for anything illegal or unethical. See the things around you in the proper context. Our assets support and inspire our work, and it is expected that you will keep them secure.

Learn More

Physical Assets include material items of value that belong to our Company, including space, furniture, equipment, inventory, office supplies, phones, computers, hardware, and storage devices (e.g., USB drives).

Electronic Assets include anything accessed or stored in electronic form, including our network, email and Internet access, data, databases, and software.

Financial Assets include non-physical items of value that add to our business, including bank deposits, bonds, stocks, cash equivalents, and equity instruments.

Intellectual property (“IP”) is everything we create, design, or develop. It’s our knowledge base — our brands, creative works, and original ideas for a product or process and includes:

- Business methodology
- Computer programs
- Copyrights
- Documents with proprietary information
- Know-how
- Marketing secrets
- Patents
- Proprietary routines
- Systems
- Trade names
- Trade secrets
- Trademarks
Protecting Company Assets and Property continued

Do What’s Right

Work with Integrity

- Only use Company assets for legitimate business purposes. Exceptions may apply for the infrequent personal use of some assets, such as email and telephones, but use should be brief, within reason, appropriate, respectful, and lawful.
- Never borrow, lend, give away, or sell any asset without proper authorization.
- Share IP information only with those who are authorized and need it to carry out their jobs.
- Honor non-disclosure agreements.

Be Accountable

- Physically secure your office, workstation, laptops, and mobile devices by locking items and shutting systems down when stepping away.
- Update passwords and security software as directed.

Be Collaborative

- Be aware: R1 has the right to periodically monitor, access, and disclose the contents of devices containing Company or customer information (R1 systems and networks, phones with Company information, etc.) as well as to block access to those devices or Internet sites that do not support a valid business purpose.
- Make sure to return all Company-issued assets and equipment when your employment ends.

Be Vigilant

- Tell your manager if you come across equipment that is damaged, unsafe, or in need of repair.
- Be alert to associates who misuse our networks or other assets.

In Practice

Q: I am having a hard time remembering my email password with each update. Would it be okay if I wrote it down on a sticky note next to my computer?
A: No, writing down your password would put the safety of our electronic assets in jeopardy. Try using a passphrase instead. They are easier to remember, yet still hard for potential hackers to figure out.

Q: My child needs access to a laptop to complete her homework. Is it ok for her to use my R1 laptop since it will be after business hours?
A: No, she should not use your R1 laptop since she would have access to sensitive and confidential R1 information that would create a risk to our Company. R1 owns our devices and should be used for business purposes only.

Check Our Policies

See our Professional Conduct Policy for more information
See our Confidentiality Policy for more information
Social Media and Media Relations

Our commitment to serving our customers, stakeholders, and each other extends to what and how we communicate. Through clear and accurate messaging, we have developed, and are committed to maintaining, a reputation for being responsible in all that we do.

We maintain that reputation by only authorizing certain associates to speak on our behalf and by requiring all of our associates to use social media responsibly. Keep in mind that everything we communicate about our Company can have an impact.

Use social media in accordance with our policies and, unless you are a designated spokesperson, forward any media inquiries that you receive to the appropriate Company resource. These two actions will help us make sure that information posted and published about R1 remains consistent and accurate.

Learn More

In regard to matters of public concern, we comply with laws that protect the rights of associates to speak publicly about matters of public concern and engage in protected concerted activities related to the terms and conditions of employment. Nothing in our Code or our policies is intended or should be construed to interfere with or limit your legal rights.
Social Media and Media Relations continued

Do What’s Right

Work with Integrity

• Never post anything that would be discriminatory or constitute a threat, intimidation, unlawful harassment, or bullying.

• Never make a post containing PHI, including names, related to a customer, patient, or coworker.

• Be careful about what you publish even if speaking on your own behalf. If you wouldn’t feel comfortable sharing something at work, then don’t share it publicly.

Be Accountable

• Understand that you are personally responsible for anything you publish.

• Seek approval before participating in a speaking engagement or publishing an article on behalf of R1.

Be Collaborative

• Remember that only the R1 Marketing Department is authorized to create and manage Company social media sites, web pages, networks, etc.

• Unless designated to do so, never claim to be a spokesperson for R1.

Be Vigilant

• Never share confidential information (including PHI) on social media. If you see an online posting made by an R1 associate that contains PHI, speak up immediately.

• If commenting on anything related to R1, make it clear within your social media postings that your views are your own.

• Stay current on our Media Relations policy. It applies to press releases, analyst reports, contributed articles, presentations at conferences, and any official communications with the media or in a public forum regarding R1 work.

In Practice

Q. I’m a new R1 associate. May I update my LinkedIn profile with my job title and where I work?

A: Yes, there’s nothing wrong with sharing your job title or where you work as long as you follow our policies and make sure it’s clear that you’re not speaking for R1 when you share online.

Check Our Policies

See our Social Media Policy for more information

See our Media Relations Policy for more information
We believe in caring for our community with the same devotion we give to our amazing products and services. We’re committed to being good stewards of our environment, giving charitably, and engaging responsibly through our Environmental, Social, and Governance (“ESG”) initiatives. These initiatives, centered on innovation, integrity, and inclusion, represent the very best of what R1’s workforce can bring to bear as passionate corporate citizens. Do your part to bring about positive change by investing in the communities where you live and work.
Respecting Human Rights

R1 believes that every person matters and that every person deserves to have their dignity and rights respected. We recognize the importance of human rights and respect the rights of our workforce by adhering to all applicable human rights laws and guidelines. R1 has zero tolerance for any use of forced labor or human trafficking. In equal measure, R1 holds its customers, vendors, and all third parties that we do business with to the same standard.

In accordance with our values, our compliance principles, and our identity as an ethical Company, we are committed to preventing and combating modern slavery and human trafficking in our operations and supply chains. We all must be vigilant and safeguard against risks, however small, in the healthcare landscape in which we operate. If you ever see a situation that raises concerns of human trafficking, notify your manager immediately so that security or law enforcement can be contacted.

Learn More

Human trafficking involves the use of force, fraud, or coercion to obtain some type of labor or commercial sex act. Traffickers might use violence, manipulation, or false promises of well-paying jobs or romantic relationships to lure victims into trafficking situations.

Do What’s Right

Be Accountable

- Ensure that we do business with third parties who share in R1’s commitment to respecting human rights.
- Review and recognize key indicators of human trafficking.

Be Vigilant

- Report any suspicious activity to your manager or leadership so that appropriate action can be taken.
- When traveling, whether personally or on R1 business, be alert for any signs or indicators of human trafficking. If you see anything, do your part to notify authorities to the situation.
Corporate Social Responsibility

Engaging civically and giving charitably are some of the best ways to show our commitment to caring for our communities and truly live our values. By striving to act in ways that support the areas where we live and work, we create stronger communities and help build a happier society for everyone. R1 has long worked to be a socially responsible organization, focusing on our communities.

Recognizing the importance of giving back, we created R1 Helping Hands, a U.S.-based organization whose mission is to help those in greatest need. We also regularly partner with charities and nonprofits to support efforts such as hurricane relief, education reform, and economic empowerment. R1 donates a percentage of our net income to worthy causes, as well as encourages associates to volunteer in their communities.

We encourage you to become involved in any of these corporate initiatives or to participate personally in charitable initiatives that are meaningful for you. R1’s Volunteerism Policy provides U.S.-based associates with time away from their normally scheduled hours to engage in volunteer activities in their communities.

If you do choose to volunteer your personal time, please do so responsibly. Make sure your volunteer work is done legally and without the use of Company equipment, like computers, phones, and printers and that you don’t make any comments or take any actions in the name of R1 unless authorized.

Learn More

In the U.S., R1 Helping Hands not only promotes sustainable partnerships with local networks, it offers exciting opportunities to volunteer with organizations throughout the community. Look out for emails from R1 Helping Hands if you’re trying to find a way to make a difference. If you’re interested in reaching out directly, you can contact them at R1HelpingHands@rfrcm.com.

Do What’s Right

Work with Integrity

• Make sure your charitable activities are lawful and consistent with our policies.

Be Accountable

• Make sure that your engagement in charitable activities doesn’t interfere with your work at R1.

• Be on time when engaging in R1-sponsored charitable activities.

• For non-R1 sponsored charitable activities, please participate in accordance with our policies.

Check Our Policies

See our Environmental, Social, and Governance Report for more information.
The Environment

As a leading provider of technology-driven solutions that transform the patient experience and financial performance of healthcare providers, we realize that successful business operations do more than affect our bottom line — they impact the people and the planet in countless and profound ways.

That is why we are striving to reduce the environmental impact of our operations, by leveraging platforms, such as AWS and IBM Cloud, who have committed to renewable energy targets. This, in turn, allows us to reduce our carbon footprint related to consumed electricity by upwards of 88%, according to AWS research. Help us work in an environmentally responsible manner and minimize our carbon footprint. Whether you are working remotely or in the office, you can help by turning the lights off or through sensor and timing automation, as well as opt for energy-efficient settings on all your devices. We want to strive to find new ways to innovate and transform the world around us, for the better.

In order to further help innovate and transform, we need to comply with environmental laws and regulations and look for ways to address sustainability challenges in all that we do. Although our direct impact of climate-related risks is low as a healthcare service provider, we should still do our best to look for ways to limit our environmental exposures every day. To do so, R1 Operates with Purpose by utilizing our global enterprise risk management program which includes business continuity plans for our operations, and that of our third parties, to ensure preparedness for any climate or power grid anomalies that could disrupt our operations. Partnering with third parties that share our commitment to sustainability allows us to feel confident that, if a challenge does happen, we can work together to achieve a common goal.

Learn More

Sustainable business practices start with our daily office operations, whether you’re in the office or working remotely. To help conserve energy:

- Try double-sided printing and say no to unnecessary packaging.
- Use natural lighting as much as possible and turn off the lights if you’re the last to leave the office.
- Opt for energy-efficient settings on all of your devices and energy-efficient devices when possible.

Do What’s Right

Be Accountable
- Conserve, recycle, or re-use natural resources whenever possible.
- Use essential resources, like water, paper, and electricity, responsibly.

Be Collaborative
- Consider third parties that share our commitment to sustainability.
- Set a good example by demonstrating environmental stewardship every day by utilizing sustainable business practices.

Be Vigilant
- Be proactive and look for ways to improve the efficiency of our operations.
- Identify and report any environmental concerns so that a thorough review can be completed.
The Environment continued

In Practice

Q: I have some thoughts about how we dispose of paper at our facility. What we do now doesn't violate any laws, but I think we could do better. What should I do?

A: We encourage you to speak up and share any ideas you have about how to lessen our environmental impact. Talk to your manager or other internal resource right away to see if your idea can be implemented.

Check Our Policies

See our Environmental, Social, and Governance Report for more information
Corporate Governance and Citizenship

As we strive to perfect our products and services, we also take time to invest in our corporate culture. R1’s Board of Directors and management are committed to our ESG pillars of innovation, integrity, and inclusion, providing a place to work that is committed to building a better future for our associates and our communities. We recognize the importance of a respectful work environment, our compliance principles, and living our values through The R1 Way. These characteristics reflect who we are as a Company, and they inspire us and shape our actions every day.

We aim to hire and retain a workforce that values corporate citizenship as much as we do. We know that if we believe being a good corporate citizen is important, then we are each more likely to feel like part of the team and enjoy our time in the workplace.

You can do your part to contribute to our Company’s culture by doing what’s right every day by working with integrity and being accountable, collaborative, and vigilant.

Do What’s Right

Work with Integrity

• Complete all required trainings on time and within required deadlines. You will be doing your part to create a productive work environment.

Be Accountable

• Manage your role and responsibilities well. Begin work on time with an understanding of team goals and be proactive in offering solutions.

• Be a good manager by delegating wisely, setting goals, communicating, making time for your associates, and recognizing their achievements.

Be Collaborative

• Interact respectfully with others. By actively listening, making a positive contribution, and respecting differing opinions, you can help create a more effective work environment.

• Be responsive to emails and phone calls. Maintaining high standards in business communication will make customers and coworkers feel appreciated.

Be Vigilant

• Learn about who R1 is. Ask questions and seek transparency. It will increase your workplace satisfaction.

In Practice

Q: I’m fairly new to R1 and have already completed my required training. Are there any recommended next steps?

A: We encourage associates to become familiar with and stay up to date on R1’s policies, business model, and history. Work with your leader to understand his/her expectations and continue to build trust with your colleagues. All of these actions will help support you and your team in fostering a strong and healthy corporate culture.

Check Our Policies

See our Environmental, Social, and Governance Report for more information
An ethical and compliant culture is the foundation of any great workplace, no matter the industry in which you work. At R1, we are building that culture together each and every day, knowing that ethics, integrity, and compliance are part of the journey in building that great workplace. We know that each of you are committed to that journey, working with pride, passion, and commitment in the right way, for the right reasons, every day.

As leaders in health care, we’re entrusted with valuable and sensitive information and interactions. In turn, it is paramount that we act with an uncompromising commitment to integrity, accountability, collaboration, and vigilance — our compliance principles. Our Code is the guidebook on how best to follow these principles.

And don’t forget — we’re a team with colleagues, partners, and resources ready to help and support one another in order to Operate with Purpose. We know that the healthcare landscape and workplace can be complex and complicated. Reach out and know that we’ll offer our best guidance and direction in a helpful, transparent, and efficient manner. If you have any questions about what’s included in the Code, ask your manager, the Compliance & Risk Team, or look to our Resources for help. If you see someone or something that you believe may violate our Code, speak up. When you do, you’ll help ensure that R1 is leading the way in building and sustaining a workplace that is committed to conduct predicated on acting with integrity every day.

Finally, thank you for your commitment to our Code and for helping us live our values and adhere to our compliance principles each day.

Kind Regards,

Corey Perman
Executive Vice President, Compliance & Risk
Who do I contact for other concerns?

- **Privacy Team:** Email Privacy@r1rcm.com
- **Enterprise Risk Management:** Email ERM@r1rcm.com
- **Global Technical Learning:** Email learning@r1rcm.com
- **Compliance Education:** Email UltiProLearning@r1rcm.com
- **PolicyTech:** Email policytech@r1rcm.com
- **Regulatory Compliance:** Email regulatorycompliance@r1rcm.com
- **Operational Audit:** Email operationalaudit@r1rcm.com or customerauditsupport@r1rcm.com
- **Inclusion & Diversity:** Email inclusiondiversity@r1rcm.com
- **Media Relation:** Email media@r1rcm.com
- **FMLA/Disability/Leave of Absence:** MetLife 877-638-8262
- **Benefits:** 833-793-0800 Mon-Fri 7:30AM-4:30PM CST Benefits Service Center
- **Payroll:** Ask HR Portal
- **R1 Technology:** Visit the Service Portal

### Contacts

**Need additional contacts?** We have a variety of contacts available to help answer any questions you may have, to provide direction on areas you may need assistance with, and to help you report potential concerns.

- **General Concerns/Issues:** Your manager or member of your local leadership team. We have an open door philosophy at R1.

- **Contact Human Resources:** HR can be contacted by visiting the Ask HR area of the Service Portal.

- **Contact the Compliance & Risk Team:**
  - **By email:**
    - Compliance@r1rcm.com
    - ComplianceIndia@r1rcm.com
    - CompliancePHL@r1rcm.com
    - Privacy@r1rcm.com
  - **By mail:**
    - R1 RCM Inc.
    - 434 W. Ascension Way, 6th Floor
    - Murray, UT 84123

- **EthicsPoint:** Use the EthicsPoint website to report an issue or to find phone numbers for your region.
  - U.S.: 1-800-633-9562
  - India: 000-800-100-1071 or 000-800-001-6112
  - Philippines: 1-800-1-322-0411

- **Talk to Your Manager**

- **Talk with Human Resources**

- **Notify Compliance & Risk**
The R1 Way: The R1 Way defines the essential, shared, everyday behaviors that empower every associate to succeed. It serves as an anchor for everything we do as an organization.

FORUM: The R1 FORUM is your gateway to access all departments, quick links, training materials, and up-to-date news from across the organization.

Service Portal: R1’s Service Portal gives you access to multiple departments such as IT, Helping Hands, Ask HR, R1 tool support, procurement requests, and self-help articles.

Environmental, Social, and Governance: The annual ESG Report highlights R1’s key initiatives and continued commitment to environmental, social, and governance factors.

Helping Hands: Helping Hands is R1’s mission to make a meaningful impact in our communities through volunteering with a focus on health care, education, and human services. Visit the Helping Hands site to obtain additional information about Helping Hands and how you can volunteer.

R1 Stars: R1 Stars is our rewards and recognition program where you can recognize and celebrate one another for living our values and following The R1 Way.

Technology & Security: Our IT Team is dedicated to keeping R1’s systems and assets protected. Visit the Technology & Security site to learn more information on DUO Authentication, phishing prevention, and online security.